

DOCKET NO.: 05-03-001
 CLIENT NO.: UGSC01-05025
 Customer No.: 45113

PATENT *Hw*

IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

In re application of : Thomas P. Pritchard et al.
 Application No. : 10/737,215
 Filed : December 16, 2003
 For : SYSTEM, METHOD AND COMPUTER PROGRAM PRODUCT
 FOR LIFECYCLE DIGITAL MATURITY ASSESSMENT (As
 Amended)
 Group No. : 2131
 Examiner : Not Yet Assigned

Mail Stop Amendment
 Commissioner for Patents
 P.O. Box 1450
 Alexandria, VA 22313-1450

Sir:

CERTIFICATE OF MAILING BY FIRST CLASS MAIL

The undersigned hereby certifies that the following documents:

1. Postcard receipt;
2. Information Disclosure Statement;
3. Copy of International Search Report for PCT Application No. PCT/US04/24727;
4. Forms PTO/SB/08A & B; and
5. Three (3) references

relating to the above application, were deposited as "First Class Mail" with the United States Postal Service, addressed to, Mail Stop Amendment, Commissioner for Patents, P.O. Box 1450, Alexandria, VA 22313-1450, on February 22, 2006.

Date: 2/22/06

Nancy J. Lamberte
 Mailing

Date: 2/22/06

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ALL REFERENCES CONSIDERED EXCEPT WHERE LINED THROUGH. //J.S.//

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Group No. : 2131
Examiner : Not Yet Assigned

MAIL STOP AMENDMENT
Commissioner for Patents
P.O. Box 1450
Alexandria, VA 22313-1450

Dear Sir:

INFORMATION DISCLOSURE STATEMENT

Pursuant to the duty of disclosure under 37 C.F.R. § 1.56, Applicant submits this statement. This submittal is made in accordance with 37 C.F.R. §§ 1.97 and 1.98 and § 609 of the Manual of Patent Examining Procedure. The references herein are listed below and on the attached Forms PTO/SB/08A & B. These references were located during the International Search Report issued in the related PCT Patent Application No. PCT/US04/24727, a copy of which is attached hereto. Copies of the listed publications are submitted herewith.

DOCKET NO.: 05-03-001
 APPLICATION NO.: 10/737,215
 PATENT

<u>U.S. Patent No.</u>	<u>Inventor</u>	<u>Date</u>
2003/0130884	Michaluk	July 10, 2003
2004/0068431	Smith et al.	April 8, 2004
2005/0125324	Eicher	June 9, 2005

Publications

Brian P. Gallegher, "Interpreting Capability Maturity Model Integration (CMMI) for Operational Organizations", Software Engineering Process Management, April 2002, 34 pages.

"Management and Evaluation of Patents and Trademarks", Consultants' Analysis Report prepared by Ernst & Young and Ementor Management Consulting for The Danish Patent and Trademark Office, December 2000, 88 pages.

Ian Cobbold et al., "The Development of the Balanced Scorecard as a Strategic Management Tool", 2GC Conference Paper, Presented at PMA Conference, Boston, USA, May 2002, 10 pages.


Applicant hereby expressly reserves the right to swear behind the effective dates of any of the above Patents and to question the relevance and materiality of the Patents and Publications listed herein, in whole, in part, or in combination, subsequent to filing this Information Disclosure Statement.

DOCKET NO.: 05-03-001
APPLICATION NO.: 10/737,215
PATENT

Respectfully submitted,

DAVIS MUNCK, P.C.

Date: 2/22/16


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PTO/SB/08A (08-03)
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Substitute for form 1449/PTO

INFORMATION DISCLOSURE STATEMENT BY APPLICANT

(Use as many sheets as necessary)

Sheet 1 of 2

Complete if Known

Application Number	10/737,215
Filing Date	December 16, 2003
First Named Inventor	Thomas P. Pritchard
Art Unit	2131
Examiner Name	Not Yet Assigned
Attorney Docket Number	05-03-001

U. S. PATENT DOCUMENTS

Examiner Initials*	Cite No. ¹	Document Number	Publication Date MM-DD-YYYY	Name of Patentee or Applicant of Cited Document	Pages, Columns, Lines, Where Relevant Passages or Relevant Figures Appear
J.S./	AA	US- 2003/0130884	07/10/2003	Michaluk	
J.S./	AB	US- 2004/0068431	04/08/2004	Smith et al.	
J.S./	AC	US- 2005/0125324	06/09/2005	Eicher	
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FOREIGN PATENT DOCUMENTS

Examiner Initials*	Cite No. ¹	Foreign Patent Document	Publication Date MM-DD-YYYY	Name of Patentee or Applicant of Cited Document	Pages, Columns, Lines, Where Relevant Passages or Relevant Figures Appear	T ⁵
		Country Code ² Number ³ Kind Code ⁴ (if known)				

Examiner Signature: /Jonathan Sterrett/ (02/13/2008) Date Considered: 02/13/2008

*EXAMINER: Initial if reference considered, whether or not citation is in conformance with MPEP 608. Draw line through citation if not in conformance and not considered. Include copy of this form with next communication to applicant. ¹ Applicant's unique citation designation number (optional). ² See Kinds Codes of USPTO Patent Documents at www.uspto.gov or MPEP 901.04. ³ Enter Office that issued the document, by the two-letter code (WIPO Standard ST.3). ⁴ For Japanese patent documents, the indication of the year of the reign of the Emperor must precede the serial number of the patent document. ⁵ Kind of document by the appropriate symbols as indicated on the document under WIPO Standard ST.16 if possible. ⁶ Applicant is to place a check mark here if English language translation is attached.

This collection of information is required by 37 CFR 1.97 and 1.98. The information is required to obtain or retain a benefit by the public which is to file (and by the USPTO to process) an application. Confidentiality is governed by 35 U.S.C. 122 and 37 CFR 1.14. This collection is estimated to take 2 hours to complete, including gathering, preparing, and submitting the completed application form to the USPTO. Time will vary depending upon the individual case. Any comments on the amount of time you require to complete this form and/or suggestions for reducing this burden, should be sent to the Chief Information Officer, U.S. Patent and Trademark Office, P.O. Box 1450, Alexandria, VA 22313-1450. DO NOT SEND FEES OR COMPLETED FORMS TO THIS ADDRESS. SEND TO: Commissioner for Patents, P.O. Box 1450, Alexandria, VA 22313-1450.

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(Use as many sheets as necessary)

Complete if Known

Application Number	10/737.215
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Filing Date	December 16, 2003
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First Named Inventor	Thomas P. Pritchard
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Art Unit	2131
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Examiner Name	Not Yet Assigned
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Attorney Docket Number	05-03-001
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Sheet	2	of	2
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NON PATENT LITERATURE DOCUMENTS

[illegible]

Examiner Signature	/Jonathan Sterrett/ (02/13/2008)
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Date
Considered

*EXAMINER: Initial if reference considered, whether or not citation is in conformance with MPEP 609. Draw line through citation if not in conformance and not considered. Include copy of this form with next communication to applicant.

1 Applicant's unique citation designation number (optional). 2 Applicant is to place a check mark here if English language Translation is attached. This collection of information is required by 37 CFR 1.98. The information is required to obtain or retain a benefit by the public which is to file (end by the USPTO to process) an application. Confidentiality is governed by 35 U.S.C. 122 and 37 CFR 1.14. This collection is estimated to take 2 hours to complete, including gathering, preparing, and submitting the completed application form to the USPTO. Time will vary depending upon the individual case. Any comments on the amount of time you require to complete this form, and/or suggest changes to the burden, should be sent to the Patent and Trademark Office, P.O. Box 1450, Alexandria, VA 22314. DO NOT SEND FEES OR COMPLETED FORMS TO THIS ADDRESS. SEND TO: Commissioner for Patents, P.O. Box 1450, Alexandria, VA 22314-1450.

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